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Resilience of persistent Arctic mixed-phase clouds

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26 **The Arctic is particularly sensitive to climate change, with potentially dramatic consequences**
27 **for the regional ecosystem. Arctic mixed-phase clouds, comprising both ice and supercooled**
28 **liquid water, have been observed to occur frequently in all seasons and persist for many days**
29 **at a time. They have a large impact on the shortwave and longwave radiative transfer, and**
30 **consequently play an important role in regulating the flow of energy in the system. Due to**
31 **the inherent instability of ice-liquid coexistence, the persistence of these clouds is**
32 **remarkable. We review the literature on Arctic mixed-phase clouds and develop a conceptual**
33 **model of their existence. Complex feedbacks between various local processes related to**
34 **supercooled liquid water, radiation, turbulence, entrainment, surface heat and moisture**
35 **fluxes, aerosol, and ice microphysics collude to create a resilient cloud system. Despite this**
36 **complexity, the mixed-phase cloud state exhibits distinct emergent properties, likely related**
37 **to interactions with the large-scale meteorological environment. It is inferred that changes in**
38 **the frequency of mixed-phase cloud occurrence caused by an altered large-scale environment**
39 **could have a large impact on surface radiative fluxes and hence the energy budget and**
40 **evolution of sea ice.**

41 Global and regional climate models have highlighted the Arctic as a region of particular
42 sensitivity to climate change¹. These model results are supported by observations showing
43 rapid environmental change and accelerated warming relative to lower latitudes²⁻⁶. This
44 sensitivity has been hypothesised to result from myriad feedbacks operating in the region.
45 Central to these feedbacks are changes in cloud fraction, water content, phase, particle size,
46 and temperature⁷⁻⁹. Since clouds impact downwelling solar and longwave radiative fluxes,

47 cloud-radiation feedbacks are inextricably linked with surface processes and feedbacks^{7,10,11}.
48 Cloud-related processes have been implicated as a major factor in recent summertime sea-ice
49 loss¹², which has accelerated over the last decade at a rate much higher than predicted by most
50 climate models¹²⁻¹⁴. The challenge of attribution becomes apparent when considering that
51 Arctic sea-ice loss over the past 30 years can be explained by an energy surplus of just 1 W m^{-2}
52 (ref. 15).

53 Mixed-phase clouds are composed of a mixture of supercooled liquid droplets and ice
54 crystals. At lower latitudes, these conditions typically occur in conjunction with deep
55 convection or as mid-level altostratus or altocumulus clouds associated with tropical or
56 synoptic-scale mid-latitude weather systems^{16,17}. More commonly found in the Arctic, mixed-
57 phase clouds cover large swaths of the region throughout the year¹⁸ and occur as extensive
58 single or multiple stratiform layers of supercooled liquid water from which ice crystals form and
59 precipitate with regularity, producing a characteristic structure of liquid near cloud top and ice
60 within and below the liquid layer(s)¹⁹⁻²⁷. The liquid water they comprise has a large impact on
61 surface radiative fluxes and energy balance^{23,28}, and is therefore critical to climate. In contrast
62 to lower-latitude mixed-phase clouds, this cloud structure is often long-lived and can persist for
63 several days; an example from Eureka, Nunavut (Canada) is shown in Fig. 1. The high frequency
64 of occurrence of Arctic mixed-phase clouds is largely due to their longevity¹⁸. They persist under
65 a variety of conditions, including weak synoptic-scale forcing and large-scale subsidence^{18,21,23,25}
66 (i.e., they do not require synoptic-scale upward air motion associated with cyclones and fronts).
67 This persistence is surprising when one considers that the mixture of supercooled liquid

68 droplets and ice is microphysically unstable. Ice has a lower equilibrium vapor pressure than
69 liquid, meaning that when ice and water coexist at subfreezing temperatures, liquid droplets
70 evaporate and release water vapor allowing ice crystals to grow by vapor deposition, unless
71 there is enough cooling or moistening to maintain liquid saturation. The growth of ice by vapor
72 deposition at the expense of liquid is referred to as the Wegener-Bergeron-Findeisen (WBF)
73 mechanism²⁹⁻³¹. This microphysical instability can transform mixed-phase clouds to ice-only
74 clouds within a few hours or less^{21,22,32,33}. Consequently, the persistence of these clouds for
75 periods of days to weeks^{18,23,25} (Fig. 1) is unexpected.

76 A complex web of interactions between various physical processes has made it difficult
77 to assemble an overall picture of how Arctic mixed-phase clouds persist. This uncertainty is
78 reflected in the poor simulation of these clouds by numerical models at all scales³⁴⁻³⁸, which
79 erodes confidence in model estimates of Arctic cloud-climate feedbacks and climate sensitivity.
80 Moreover, process complexity has made it difficult to identify key parameters that inhibit our
81 ability to understand and simulate these clouds. We will argue that a lack of sufficient progress
82 indicates a need for a more integrated, systems-based methodology that complements existing
83 strategies relying primarily on a process-level, reductionist approach. We will show that such a
84 “systems dynamics” perspective helps identify some critical aspects of these clouds, and
85 provides a natural framework for interpreting their persistence and understanding their role in
86 climate.

87 **Local process interactions in Arctic mixed-phase clouds**

88 Modeling and theoretical studies have attempted to explain the counterintuitive persistence of
89 Arctic mixed-phase clouds despite microphysical instability arising from the WBF mechanism.
90 Turbulence and cloud-scale upward air motion appear to be critical in maintaining mixed-phase
91 clouds under weak synoptic-scale forcing³⁹⁻⁴³. In updrafts, relative humidity increases through
92 expansion and cooling of air. If the updrafts are strong enough, conditions can become
93 supersaturated with respect to liquid water, leading to simultaneous growth of ice particles and
94 supercooled liquid droplets rather than ice growing at the expense of liquid⁴⁴. Turbulence itself
95 is driven by local process interactions that simultaneously depend on, and help maintain, liquid
96 water^{32,45}. In particular, supercooled liquid water leads to strong longwave radiative cooling,
97 with cooling rates that can exceed 60 K day^{-1} near cloud top^{21,32,33,45,46}. This cooling leads to
98 decreased static stability, buoyant production of turbulent updrafts, and condensational growth
99 of droplets^{32,45,47,48}; these processes constitute a self-maintaining liquid water-radiative-
100 turbulence feedback pathway (Fig. 2, pathway A).

101 Further sustenance for these mixed-phase cloud layers is gained by moisture associated
102 with large-scale advection which results in frequent moisture inversions near cloud top^{49,50}, in
103 contrast to warm stratocumulus and mixed-phase clouds at lower latitudes that typically have
104 dry air above the cloud⁴⁸. In the presence of a moisture inversion, turbulent entrainment of air
105 from above the cloud actually moistens the cloud layer and helps to sustain it against the near-
106 continual mass-loss due to ice precipitation⁴⁸. As a result, local feedbacks between cloud
107 droplets, radiation, and turbulence, in conjunction with moisture inversions near cloud top, can
108 lead to persistence of Arctic mixed-phase clouds even in cases when the cloud layer is

109 decoupled from surface energy and moisture sources⁴⁸. For mixed-phase clouds that are
110 dynamically coupled to the surface, feedbacks between clouds and the surface can also lead to
111 resilience⁵¹. Supercooled liquid water can induce surface longwave radiative warming and
112 atmospheric cooling, decreasing static stability and increasing surface sensible heat and
113 moisture fluxes^{51,52}. These fluxes, whose magnitude depends upon surface type, in turn provide
114 energy and moisture that can help to maintain the cloud layer (Fig. 2, pathway B). This source
115 of moisture also helps to balance the loss of water from ice precipitation for clouds that persist
116 in the absence of humidity inversions^{23,45}.

117 **Impact of the aerosol**

118 Atmospheric aerosol particles can influence the persistence of Arctic mixed-phase
119 clouds by affecting cloud microphysical characteristics. These aerosol-related feedbacks further
120 complicate the web of process interactions, perhaps much more so than in warm liquid-phase
121 clouds⁵³. Ice formation at mixed-phase cloud temperatures (-40° to 0° C) involves a subset of
122 aerosol particles with heterogeneous ice-nucleating properties (ice nuclei, or IN). Although
123 many details of ice nucleation are poorly understood, enough knowledge exists to draw first-
124 order conclusions^{36,54-57}. Cloud condensation nuclei (CCN; aerosol particles that nucleate liquid
125 cloud droplets) concentrations range from about 10-1000 cm⁻³, while IN concentrations are
126 typically much lower (10⁻⁵ – 0.1 cm⁻³), meaning that only about 1 in a million particles acts as an
127 IN⁵⁷. The concentration of ice particles and hence IN is critical for mixed-phase clouds because it
128 impacts the WBF process^{21,32,33,36,42,45,46}. Modeling studies have shown that even modest

129 increases in the concentration of ice can lead to rapid conversion of mixed-phase clouds to all-
130 ice clouds^{21,32,33,36,45}.

131 The liquid phase itself may play a self-regulating role in the production of ice particles.
132 Observations indicate that the presence of large drops and ice concentration are not only
133 correlated^{22,58-60}, but also that ice particles typically form only after supercooled liquid water is
134 present, despite highly ice-supersaturated conditions prior to the appearance of liquid⁶¹.
135 Indeed, ice-only clouds occur much less frequently than mixed-phase clouds at temperatures
136 higher than about -25 to -15 °C^{18,61}. If ice formation is indeed initiated by the presence of liquid
137 droplets, this represents a negative feedback that helps to maintain the clouds. Specifically, as
138 ice formation ensues, liquid water is depleted, which then suppresses ice formation and
139 prevents excessive supercooled water loss from ice growth (Fig. 2, pathway C). In addition,
140 maintenance of supercooled water depends on gravitational fallout of ice, which removes ice
141 from the cloud layer and hence restricts the ability of ice to compete with liquid droplets for
142 available water vapor^{32,40,62}.

143 Other aerosol influences occur through changes in CCN concentration and impacts on
144 cloud droplet concentration and size (Fig. 2). For example, increased aerosol loading associated
145 with transport from mid-latitudes increases cloud droplet concentration and hence longwave
146 radiative emissivity of thin clouds (all else being equal)^{8,63,64}. The subsequent increase in
147 downwelling longwave radiation can result in surface warming^{63,64}, which may increase surface
148 turbulent fluxes and provide a greater source of moisture. More recent work⁸ suggests that
149 increased aerosol concentration, by enhancing cloud emissivity, accelerates the positive

150 feedback loop between cloud top-radiative cooling, turbulence, and condensation of cloud
151 liquid. Moreover, cloud droplet size and concentration also affect the rate at which liquid is
152 removed through the collection (and subsequent freezing) by falling ice particles^{65,66}. Modeling
153 studies of sub-tropical, liquid-phase stratocumulus clouds have shown the influence of droplet
154 size on gravitational fallout of liquid, which impacts cloud top radiative cooling and
155 entrainment^{67,68}. Although there has been less focus on these interaction pathways in Arctic
156 mixed-phase clouds, it is reasonable to assume that they might be important⁴⁸.

157 **Dynamics of the system**

158 Various process interactions and their roles in shaping the emergent behaviour of
159 persistent Arctic mixed-phase clouds are synthesised in the conceptual model shown in Fig. 3.
160 These interactions tend to organise the cloud system into a distinct, quasi-steady structure
161 consisting of one or more fairly thin layers containing supercooled water droplets and ice
162 crystals, with larger ice crystals falling below the liquid-containing layer. Supercooled water
163 near cloud top drives radiative cooling and production of turbulence, maintaining the cloud in a
164 well-mixed layer capped by temperature and often moisture inversions at, or just above, cloud
165 top^{49,50}. Resilience of these clouds also depends on resupply of water vapor from the surface
166 and/or from entrainment of moisture above the inversion⁴⁸, which balances the loss of
167 moisture from ice precipitation and keeps the cloud system in a quasi-steady state. Several
168 features contrast with lower-latitude, mid-level mixed-phase clouds (e.g., surface coupling,
169 presence of moisture inversions, relatively weak solar heating), likely explaining at least in part
170 the longevity of Arctic mixed-phase clouds compared to their lower-latitude, mid-level

171 counterparts, which tend to dissipate rapidly in the presence of large-scale subsidence¹⁷.
172 Additional pathways, some of which appear in Fig. 2, play a role in the maintenance and
173 organisation of these clouds, and it remains a major task to understand and quantify this
174 intricate web of process interactions.

175 Given the multiple, tightly-coupled process interactions depicted in Fig. 2, it is
176 particularly difficult to predict how the cloud system will behave. Because these interactions
177 are nonlinear and interlinked in numerous ways, poor understanding of any one process or its
178 interactions may have important consequences for understanding the overall system
179 behaviour. Two scientific lines of enquiry can be applied to complex systems of this kind. In the
180 “reductionist” approach, the system is reduced to the sum of the interactions between its
181 parts. However, when a complex system manifests *emergence*, i.e., general behaviour that
182 cannot be described by the sum of the component interactions of the system, a “systems-
183 based” approach may prove fruitful⁶⁹. The tendency for mixed-phase Arctic clouds to maintain
184 themselves in spite of the inherent microphysical instability is suggestive of emergent qualities.
185 It also evokes properties of “self-organisation”, defined as “internal, local process interactions
186 giving rise to global order”⁷⁰. The distributed nature of these interactions results in a system
187 that is robust, i.e., it is resilient to perturbation.

188 Self-organisation prevails in a range of natural and man-made systems. Examples
189 include oscillating chemical reactions⁷¹, flock behaviour amongst bird populations, predator-
190 prey behaviour in the fields of ecology⁷² and cloud physics⁷³, light amplification by stimulated
191 emission of radiation, and computer network theory⁷⁴. In the sub-tropics and mid-latitudes,

192 cloud fields associated with mesoscale convection often present themselves as closed- or open
193 cellular patterns^{75,76}, exhibiting system-wide order emerging from local interactions. Self-
194 organised systems often have a number of preferred states or attractors⁷⁷. The sub-tropical
195 marine boundary layer provides a vivid example of self-organization around two distinct states:
196 the high albedo, closed-cell cloud state and the precipitating, low albedo, open-cell cloud
197 state^{76,78-81}. The system selects the preferred state based, inter alia, on environmental
198 conditions or external forcing. Small perturbations often strengthen state robustness by
199 allowing further phase-space exploration in the vicinity of the attractor, while large
200 perturbations may cause the system to transition from one preferred state to another⁷³.

201 In the central Arctic, observations provide evidence for the existence of two preferred,
202 quasi-steady states corresponding to “radiatively-clear” (clear sky or radiatively-thin clouds) or
203 “opaquely-cloudy” conditions that persist for up to 10-14 days⁸². To further illustrate these two
204 states, data from the Surface Heat Budget of the Arctic Ocean experiment (SHEBA)^{83,84} are
205 analyzed for the period Nov. 1, 1997, to May 26, 1998, similar to ref. 82. Figure 4a shows a joint
206 probability density function of net surface longwave radiation (LW) (downwelling minus
207 upwelling) and surface pressure based on hourly measurements⁸⁴. We are primarily concerned
208 here with persistent mixed-phase clouds under relatively weak synoptic-scale forcing.
209 Therefore, our analysis excludes times when there is cloud cover above 3 km to minimize the
210 impact of deep clouds driven by strong but short-lived forcing associated with the passage of
211 cyclones and fronts. This diagram indicates a distinct clustering of points around two regions of
212 the phase space: near 0 W m^{-2} and near -40 W m^{-2} net surface LW. Points near -40 W m^{-2}

213 correspond to the radiatively-clear state, while those near 0 W m^{-2} correspond to the opaquely-
214 cloudy state⁸². Although the opaquely-cloudy state in Fig. 4a includes instances of all-ice clouds,
215 it is dominated by mixed-phase clouds; for this dataset, supercooled liquid water occurred 84%
216 of the time when the net surface LW was greater than -20 W m^{-2} , with ice usually but not
217 always present. The two preferred states are also apparent in distributions of surface
218 temperature, sensible heat fluxes, and atmospheric humidity and temperature structure⁸².

219 **State stability and selection**

220 The key question, then, is what conditions select the occurrence of this opaquely-cloudy,
221 mixed-phase cloud state? While this state is resilient and often lasts for several days^{18,23-26},
222 transitions between it and the radiatively-clear state typically occur over timescales of hours or
223 less⁵². These transitions are accompanied by sharp changes in clouds, turbulence, radiation,
224 surface energy budget, and atmospheric thermodynamic profiles. Observed 1-5 day time
225 trajectories from SHEBA (Fig. 4b) provide examples of transitions between radiatively-clear
226 conditions and low-level mixed-phase clouds (or vice versa) in the phase space of net surface
227 LW versus surface pressure, similar to ref. 82. These trajectories show the system slowly
228 evolving within either the mixed-phase state (with net LW near 0 W m^{-2}) or radiatively-clear
229 state (with net LW near -40 W m^{-2}), until it rapidly transitions to the other state. Thus, system
230 evolution appears to be influenced by both slow and fast timescale processes. Slow timescale
231 processes are generally associated with the large-scale meteorological environment (e.g., large-
232 scale advection of water vapor shown in the conceptual model; Fig. 3), with a characteristic
233 timescale on the order of a day or longer. Fast timescale processes are associated with local

234 process interactions between clouds, radiation, aerosol, turbulence, and the surface as
235 depicted in Fig. 2, with characteristic timescales on the order of one hour or less. While fast
236 processes typically interact in ways that lead to resilience of the state, they can drive rapid
237 evolution and transition between states if these interaction pathways are disrupted⁴⁵. The
238 importance of both fast and slow timescale processes may explain why it has been difficult to
239 clearly relate these Arctic states to large-scale environmental conditions. For example, despite
240 being able to correlate the opaquely-cloudy and radiatively-clear states with surface pressure,
241 the authors⁸² were unable to identify specific processes or mechanisms that explain this
242 relationship.

243 Interactions between fast timescale, local processes and slow timescale, large-scale
244 environmental processes have been described for sub-tropical marine boundary layer
245 clouds^{85,86}. These interactions tend to occur along slowly-evolving surfaces in phase space, or
246 “slow manifolds”⁸⁶. Slow manifolds may also be a helpful way to understand interaction of the
247 persistent mixed-phase cloud state with the large-scale Arctic environment. In the examples
248 shown in Fig. 4b, individual time trajectories of observed net surface LW and surface pressure
249 from SHEBA⁸² evolve along slow manifolds corresponding to either the mixed-phase state or
250 radiatively-clear state as the large-scale environment (in this illustration, surface pressure)
251 changes. This slow evolution is punctuated by sudden transition from one state to the other,
252 followed again by slow evolution along the other manifold. (Note, however, that not all
253 transitions between these two states follow such clear paths.) We hypothesise that local
254 process interactions, as depicted in Fig. 2 for the mixed-phase state, tend to keep trajectories

255 “slaved” to the slow manifolds⁸⁶, leading to resilience and persistence of the states. However, if
256 changes to the large-scale environment are significant enough to disrupt these local process
257 interactions, then transition between the manifolds may occur.

258 Large eddy simulations of the Arctic boundary layer^{45,48} provide support for this
259 hypothesis. For example, the mixed-phase state can be maintained by local process interactions
260 even when there is a drying of the large-scale environment through advection and
261 precipitation⁴⁸, but if the drying is large enough and supercooled water is reduced below the
262 amount required to maintain sufficient cloud top radiative cooling and production of
263 turbulence, rapid transition to the radiatively-clear state occurs⁴⁵. Similar transition from a well-
264 mixed, stratocumulus-topped boundary layer to a partly cloudy, decoupled boundary layer
265 occurs in the sub-tropical marine environment when cloud water and hence radiative cooling
266 are sufficiently reduced⁸⁶.

267 **Remaining uncertainties, outlook, and implications for climate**

268 The past decades of research reviewed here provide evidence of significant progress in our
269 understanding of Arctic mixed-phase clouds but expose a number of unresolved issues. As in
270 other geographical regions, the primary concern is one of relating statistical properties of
271 clouds to the larger-scale meteorological environment^{87,88}. A recent review⁵³ proposed a
272 regime-based approach to help constrain the meteorological context of aerosol-cloud
273 interactions. In this regard, the Arctic represents a regime with distinct meteorological
274 characteristics, and given its sensitivity to climate change, one that requires special attention.

275 Characterisation of the relationship between cloud properties and thermodynamic
276 structure of the Arctic environment is hampered by remoteness and inaccessibility. Regional
277 model reanalyses suffer from a lack of observational constraints⁸⁹. Cloud properties retrieved
278 from ground-based radars, lidars and radiometers at long-term monitoring sites and
279 experiments (e.g., Barrow, Alaska; Eureka, Nunavut, Canada; SHEBA), along with radiosonde
280 thermodynamic profiles, have provided statistical data sets upon which many of the ideas
281 presented here are based. Unfortunately, limitations to these observations still lead to a
282 relatively poor characterisation of crucial properties such as the liquid water profile near cloud-
283 top⁹⁰ which is responsible for cloud maintenance through radiatively-driven turbulence.
284 Further insight into cloud microphysical properties has been gleaned from aircraft
285 campaigns^{21,25,27,34}. However, even sophisticated in-situ cloud probes flown during these
286 campaigns carry large uncertainties in counting and sizing particles^{21,23,56}. Ice nucleus
287 concentrations and details of active freezing mechanisms are perhaps the most significant
288 uncertainty. There exist only a handful of instruments capable of making IN measurements⁵⁷
289 and relating their data to in-cloud conditions and specific ice nucleation mechanisms is
290 challenging. More laboratory experiments on ice nucleation^{91,92} that explore links between
291 aerosol composition and droplet freezing are sorely needed.

292 In spite of the process-level complexity (Fig. 2) and often limited observational
293 constraints, the persistence of distinct Arctic states⁸² indicates that the problem may be more
294 tractable than the complexity suggests. To the extent that we can observe distinct emergent
295 properties of the system using phase diagrams such as Fig. 4, a key question is how these states

296 are selected. Given the correlation of these states with surface pressure⁸², parameters related
297 to synoptic-scale circulation, such as large-scale vertical velocity (or subsidence) and heat and
298 moisture transport, are likely to be important. However, relating these preferred states to the
299 large-scale Arctic environment has proven challenging, plausibly because of the interaction of
300 fast and slow timescale processes. Large differences between open-ocean and sea ice surface
301 heat and moisture fluxes may also play a role in selecting these states. For example, negative
302 correlation between cloud and sea ice coverage in satellite observations supports the idea that
303 increased surface heat and moisture fluxes during ice-free periods result in increased cloud
304 cover⁹³.

305 State selection in the context of the systems-based approach is complicated by potential
306 feedbacks between the large-scale environment, surface, and clouds. For example, changes in
307 the surface type induced by clouds^{12,94} may in turn alter cloud and boundary layer
308 characteristics⁹, representing either a positive or negative feedback depending in part on
309 whether longwave or shortwave cloud radiative forcing is dominant (leading to either surface
310 warming or cooling, respectively). Increased upper ocean heat content resulting from greater
311 absorption of solar radiation during longer periods of ice-free conditions⁹⁵ may further alter
312 interactions between the surface and clouds. Additional feedbacks between clouds and large-
313 scale atmospheric circulation may occur, for example, through changes in low-level radiative
314 cooling caused by increased precipitation and subsequent drying (i.e., the Arctic “dehydration-
315 greenhouse” feedback⁹⁶). Multiscale models that address scale interactions from 100s of km
316 down to 10s of m (ref. 48), together with detailed statistical analyses of the relationship of

317 these states to the large-scale environment and surface, are likely to be of great value in
318 quantifying the importance of these interactions. Such modeling could also address the role of
319 mesoscale circulations (with scales of roughly a few km to 100 km) and their impact on mixed-
320 phase cloud resilience, which remains largely unexplored.

321 For reasons articulated above, it has proven challenging for climate models to correctly
322 simulate Arctic mixed-phase clouds. Misrepresentation of these clouds results in errors in
323 surface and top-of-the-atmosphere radiation, impacting surface and atmospheric energy
324 budgets^{34,36,38}. This reduces confidence in the ability of these models to predict future climate
325 and changes to other components of the Arctic system. Model predictive capability is
326 particularly important for understanding Arctic climate because of the significant difference in
327 net surface radiation between the radiatively-clear and opaquely-cloudy, mixed-phase states.
328 For example, net LW radiation differs by roughly 30-40 W m⁻² between these states based on
329 the SHEBA dataset shown in Fig. 4. Thus, only a 5% shift in frequency of occurrence from the
330 radiatively-clear state to the mixed-phase state would result in an overall increase in net
331 surface LW of about 1.5-2 W m⁻², all else being equal. Such a shift would influence the surface
332 energy budget and likely reduce winter sea-ice thickness¹⁵. Furthermore, changes in mixed-
333 phase cloud occurrence in summer could influence surface shortwave radiation, thereby
334 impacting melt of sea ice¹² and permafrost^{97,98}, freshwater run-off through rivers, and
335 productivity and diversity of the oceanic and terrestrial biospheres^{99,100}. These examples
336 reinforce the need for improved understanding of how the mixed-phase cloud state is related

337 to the large-scale environment so that we can better understand the role of these clouds in a
338 changing environment.

339 Given the sensitivity of the Arctic system to climate change, it is imperative that we
340 continue to pursue this problem with both existing and new observational strategies, numerical
341 modeling efforts, and conceptual approaches that have proven successful in predicting the
342 characteristics of other complex systems. In particular, a merging of reductionist and systems-
343 based approaches⁶⁹ may prove useful.

344 **Author contributions**

345 The ideas herein were jointly conceived at multiple roundtable discussions. All authors
346 participated in the writing of the paper and drafting of figures, with HM coordinating the effort.
347 Other authors are listed alphabetically.

348 **Additional information**

349 The authors declare no competing financial interests.

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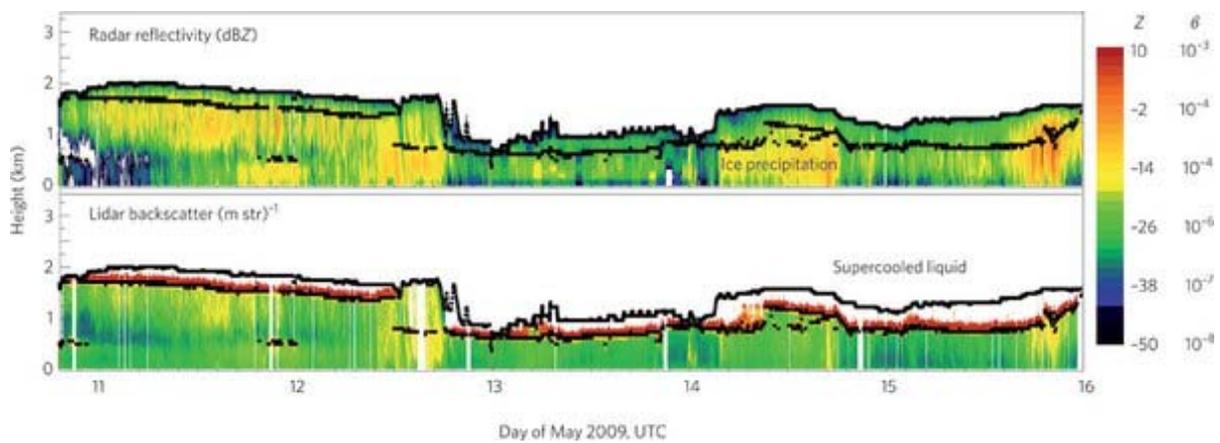
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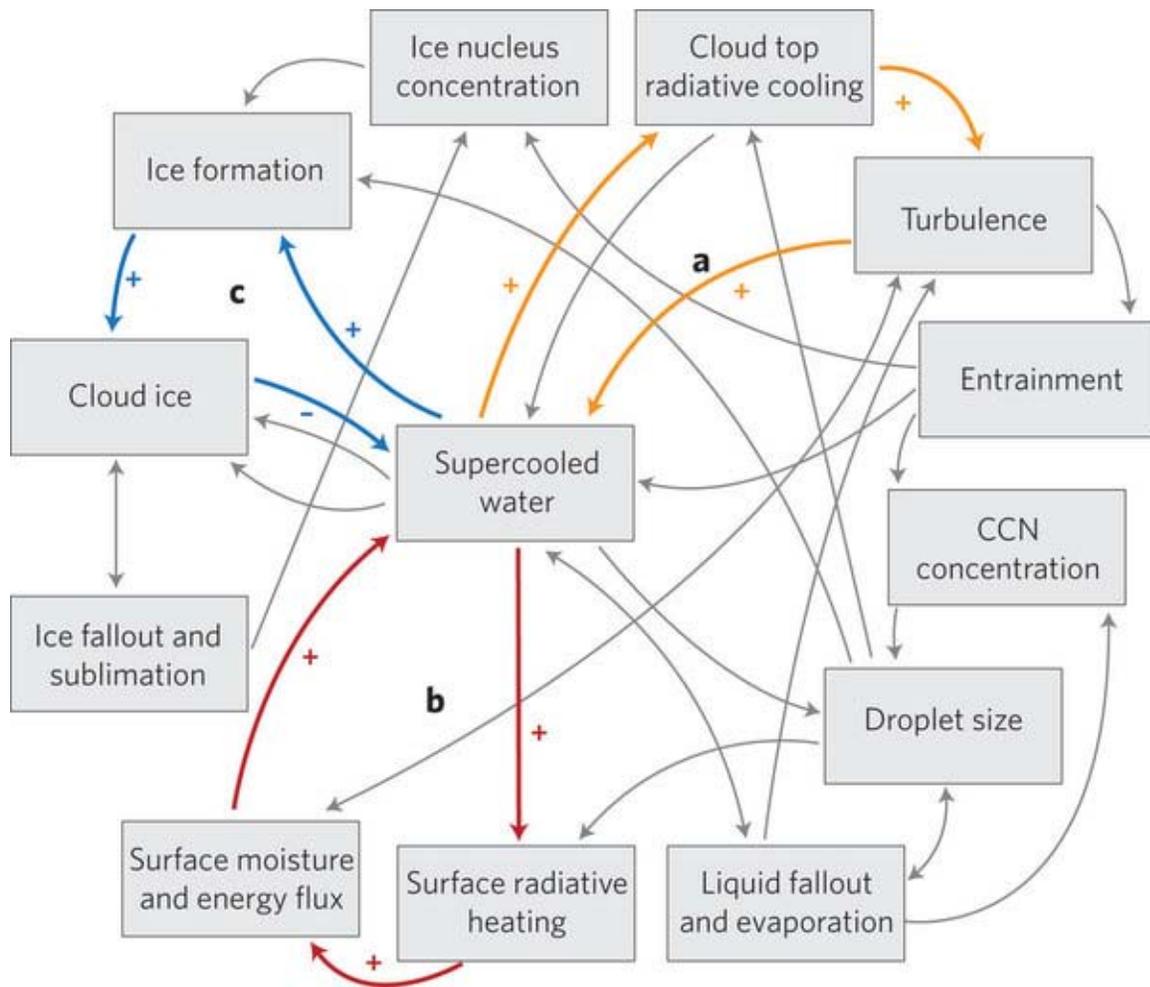
593 **Figure legends.**

594 **Figure 1 | Cloud radar and lidar indicate the characteristic structure of long-lived Arctic**
595 **mixed-phase stratiform clouds.** In this example, supercooled liquid water perseveres for more
596 than 5 days despite a near continual loss of mass due to ice precipitation. Cloud radar
597 reflectivity (top), Z_e , is dominated by the relatively large ice crystals that form in, and fall from,
598 supercooled liquid cloud layers. Lidar backscatter (bottom), β , is dominated by the much
599 smaller, yet more numerous, droplets found in liquid layers. The lidar signal is attenuated
600 within the supercooled liquid layer, whose boundaries are defined by the black contour.



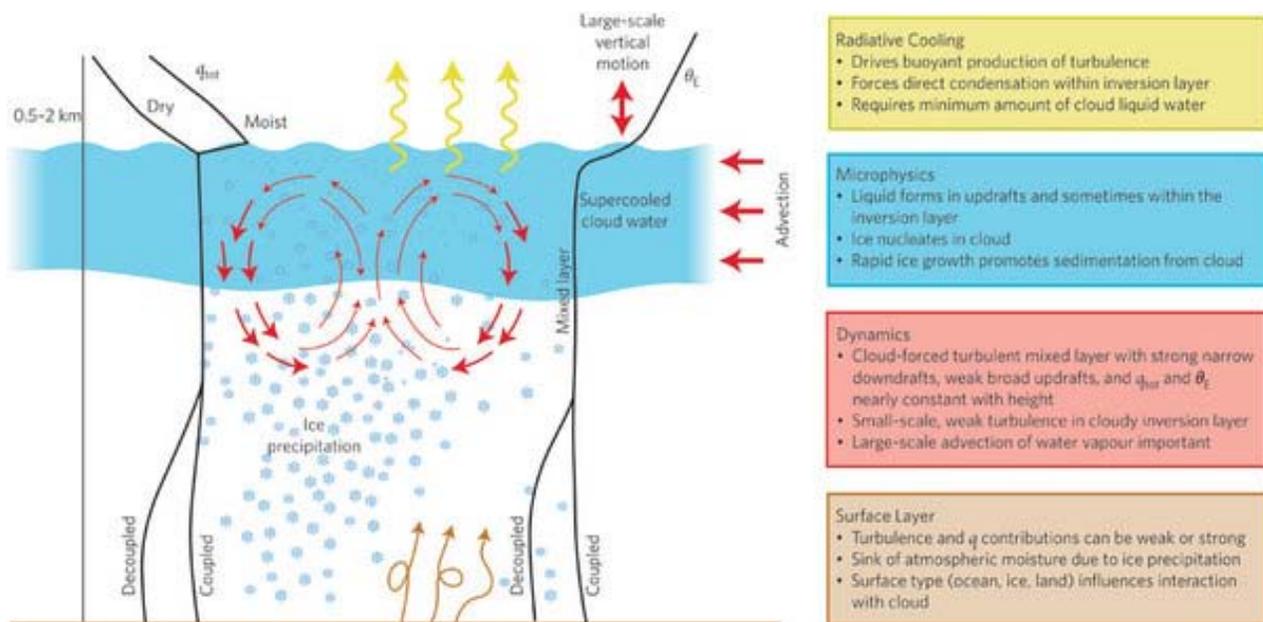
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608 **Figure 2 | Processes associated with Arctic mixed-phase clouds are linked through a complex**
 609 **web of interactions and feedbacks.** In this diagram, arrows signify the direction of influence of
 610 interactions between various physical quantities (boxes) and processes (ovals). Signs (+ or -)
 611 indicate the expected response (increase or decrease) of the receiving element. Colour-coding
 612 relates to the type of process or quantity involved and is consistent with the colours and
 613 descriptions in Fig. 3. Not all important associations are included in this diagram, while three
 614 specific interaction pathways (labeled **A**, **B**, and **C**) are highlighted and discussed in greater
 615 depth in the text.



616

617 **Figure 3 | A conceptual model illustrates the primary processes and basic physical structure of**
 618 **persistent Arctic mixed-phase clouds.** The main schematic elements are described in text boxes
 619 colour-coded for consistency with Fig. 2 (e.g., microphysical quantities are in blue).
 620 Characteristic profiles are provided of cloud liquid (q_{liquid}), ice (q_{ice}), and water vapor (q_{vapor})
 621 mixing ratios, and equivalent potential temperature (θ_E). These profiles may differ depending
 622 upon local conditions (e.g., dry vs. moist layers/moisture inversions above cloud top or surface
 623 coupling vs. decoupling). While this diagram illustrates many features, it does not fully
 624 represent all manifestations of these clouds.



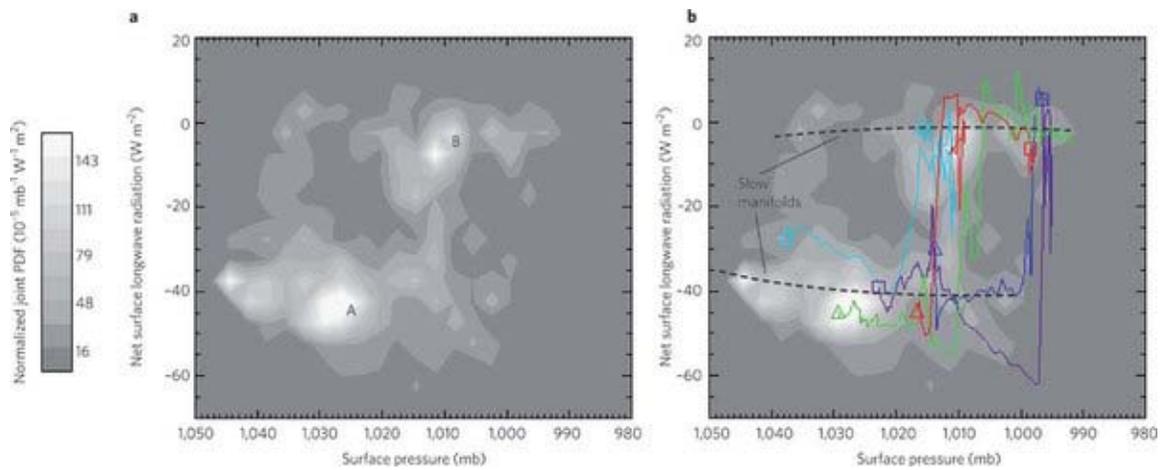
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629 **Figure 4 | Preferred Arctic states are evident from observations of net surface longwave**
630 **radiation (LW).** **a:** A normalised joint probability density function (PDF) of LW and surface
631 pressure is derived from hourly SHEBA measurements⁸⁴ over the period Nov. 1, 1997 to May
632 26, 1998, excluding periods with clouds above 3 km. The two preferred states⁸² correspond
633 with PDF maxima indicated by **A** (radiatively-clear) and **B** (opaquely-cloudy). **b:** The PDF in the
634 left panel superimposed with five different timeseries of LW versus surface pressure over 1-5
635 day periods (coloured lines) illustrates transition between the states. Triangles (squares)
636 indicate start (end) of the timeseries.



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638